## Understanding and leveraging artificial intelligence A practitioner's guide for academic research

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Nicolas Malz Research Assistant Europa-Univ. Flensburg/FossilExit B.A. Public Policy, BSc. Computer Science and Statistics









### The ChatGPT Moment

when was chatgpt first released and what was so special about it; short answer please. How many users did it gather upon release?

< 2/2 >

SchatGPT was first released by OpenAI on November 30, 2022. It was notable for its advanced natural language processing capabilities, allowing it to generate human-like text responses. Upon release, it quickly gathered over 1 million users within just five days.

아 다 다 다 수~

A poem on why understanding AI and machine learning isn't that hard in barroque sonnett style; short

Understanding AI, a task not so dire, Much like a Baroque sonnet, rich yet clear. Within the maze of code, no need to tire, For patterns in the data soon appear.

B

The algorithms, like well-played chords, flow, Mathematics dancing, a structured art. In layers deep, the neural networks grow, Learning from the past to play their part.

Just as Baroque's grand forms seem intricate, Yet reveal beauty through each measured line, So does machine learning elucidate, With logic's grace, its workings so divine.

Fear not the code, for in its heart you'll find, A symphony of knowledge, free your mind.  $(1) \quad \bigcirc \quad \bigcirc \quad \bigcirc \quad \bigtriangledown \quad \checkmark \quad \lor$ 

### Let's delve into AI and its applications



### Let's delve into AI and its applications



## About today's talk

- I am here to answer <u>your</u> questions about AI/ML
- Parts of the presentation can be challenging to understand. Do not hesitate to ask questions!
- Suggestion: Raise hand in Zoom and please do interrupt me, if I overlook you or if you are having trouble understanding
- Little break after each section for questions/clarification
- This slide deck will serve as extensive reader version; I will not dive into every detail on the slides



### Let's talk about your experiences with AI so far...

How many have tried out ChatGPT?

Have you used other AI tools?

How **confident** do you feel using AI tools personally or professionally?

What's stopping you from leveraging AI?



Join at menti.com | use code 9490 8511

Remark: QR code acts as interface for Mentimeter

## Outline

1	Motivation and background: Artificial Intelligence, Machine Learning & Co.
2	Large Language Models, Prompt Engineering (Theory)
3	Experiments: Large Language Models
4	Discussion
5	Next steps, further guidance

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## Four important definitions

ALGORITHM



Any well-defined computational procedure that **takes some** value(s) as input and produces some value(s) as output in a finite amount of time.

Or: Sequence of computational steps that transforms the input into the (desired) output.

Or: Instructions to solve a computational problem.



Structured or unstructured encoded information that can be interpreted by a computer or human.

Usually generated by some process.

We want to find **valuable patterns** in it.

Abstract, simplified **representation** of something.

MODEL

Related to the process that generates the data (e.g. regression).

In machine learning a model maps the input feature(s) to the output target value(s) using a set of algorithms.

### LEARNING



A model learns from data when its performance on a given task improves after the data is taken into account.

In technical terms: Learning means automatically finding structures and patterns in the data by optimizing the model parameters based on a loss function.

Sources: The author's own elaboration; Cormen et al. (2022); Deisenroth, Faisal and Cheng (2020)

## But what is Artificial Intelligence?



- Branch of computer science that aims to create systems capable of performing tasks that would typically require human intelligence
- Task examples:
  - reasoning,
  - decision-making,
  - problem-solving,
  - understanding natural language,
  - recognizing patterns,
  - and learning from data/environments

## How about Machine Learning and Deep Learning?



Machine Learning

- Subfield of artificial intelligence
- Design models that learn from data through algorithms
- Examples: Linear regression, K-means clustering, Support Vector Machines, Decision Trees, Random Forests
- Deep Learning
  - Subfield of machine learning that uses a particular kind of algorithm: Neural Networks (NNs)
  - Examples: Multi-Layer Perceptrons (MLPs), Convolutional NNs (CNNs), Transformerbased NNs, Generative Models, ...

### Classical Approach vs. Machine Learning – An example

We're going to create a **model** that can reliably tell us whether an image shows a red rose or a violet!







### **Red Rose**

### Violet



Sources: The author's own elaboration, OpenAl GPT-4 & GPT-4 Omni, Google Images, DALL-E

### In the end it's all numbers

Country	GDP*	CO2 emissions*
Germany	83	234315
United States	129	14556930
China	114	29345254
South Africa	29	299345
Colombia	23	194930



$a_{1,1}$	$a_{1,2}$	$a_{1,3}$	• • •	$a_{1,8}$ ]
$a_{2,1}$	$a_{2,2}$	$a_{2,3}$	• • •	$a_{2,8}$
$a_{3,1}$	$a_{3,2}$	$a_{3,3}$	•••	$a_{3,8}$
:	•	•	•••	:
$a_{15,1}$	$a_{15,2}$	$a_{15,3}$	• • •	$a_{15,8}$



 $\Leftrightarrow$ 



\*Numbers for illustration only Sources: The author's own elaboration, OpenAl GPT-4 & GPT-4 Turbo, Google Images

### Classical Approach vs. Machine Learning – An example

### What could be a rule to decide red rose vs. violet?





### **Red Rose**



Sources: The author's own elaboration, OpenAl GPT-4 & GPT-4 Turbo, Google Images

### What would a classical (non-ML) approach look like?

Algorithm 1 DetermineFlowerType

```
1: procedure DETERMINEFLOWERTYPE(image)
       img \leftarrow LOADIMAGE(image)
 2:
 3.
       // Define color thresholds for red rose and blue violet
 4.
      red_threshold.lower \leftarrow (150, 0, 0)
                                                   ▷ Lower RGB bound for red
 5:
       red_{threshold.upper} \leftarrow (255, 100, 100)
                                                 ▷ Upper RGB bound for red
 6:
       blue_threshold.lower \leftarrow (0, 0, 150)
                                                  ▷ Lower RGB bound for blue
 7:
       blue_threshold.upper \leftarrow (100, 100, 255) \triangleright Upper RGB bound for blue
 8:
 9:
       // Initialize counters for red and blue pixels
10:
       red_pixel_count \leftarrow 0
11:
       blue_pixel_count \leftarrow 0
12:
13:
       // Iterate through each pixel in the image
14:
       for each pixel in img do
15:
          r, g, b \leftarrow GETRGBVALUES(pixel)
16:
17:
           // Check if the pixel falls within the red threshold
18:
          if red_threshold.lower[0] \leq r \leq red_threshold.upper[0]
19:
   and red_threshold.lower[1] \leq g \leq red_threshold.upper[1] and
   red_threshold.lower[2] \le b \le red_threshold.upper[2] then
             red_pixel_count \leftarrow red_pixel_count + 1
20:
           end if
21:
22:
           // Check if the pixel falls within the blue threshold
23:
          if blue_threshold.lower[0] \leq r \leq blue_threshold.upper[0]
24:
   and blue_threshold.lower[1] \leq g \leq blue_threshold.upper[1] and
   blue_threshold.lower[2] < b < blue_threshold.upper[2] then
             blue_pixel_count \leftarrow blue_pixel_count + 1
25:
          end if
26:
       end for
27:
28:
29:
       // Determine the dominant color
       if red_pixel_count > blue_pixel_count then
30:
          return "Red Rose"
31:
       else if blue_pixel_count > red_pixel_count then
32:
33:
          return "Blue Violet"
34:
       else
          return "Unknown Flower Type"
35:
36:
       end if
37: end procedure
```

•	Classic approach:	"IF	THEN	ELSE"

• We could...

- ...count the number of red pixels,
- ....count the number of blue pixels;
- ...then compare,
- ... and finally decide rose vs. violet based on which one is higher!
- What problems could this approach have?

### **Thoughts?**

## What would a classical (non-ML) approach look like?

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•	Classic approach:	"IF	THEN	ELSE"
		-		

• We could...

- ...count the number of red pixels,
- ....count the number of blue pixels;
- ...then compare,
- ... and finally decide rose vs. violet based on which one is higher!
- What problems could this approach have?
  - Does not scale
  - Is not flexible in its application (what if we include red and yellow tulips?)
  - Requires human knowledge ('heuristic')
  - Not 'intelligent' but predictably dumb/boring!

### How do we *learn* from data?

We're going to create an algorithm that can reliably tell us whether an image shows a red rose or a violet!





## What would a machine learning approach look like?



Sources: The author's own elaboration, OpenAl GPT-4 & GPT-4 Turbo, Bishop (2006)



## What would a machine learning approach look like?



- ML approach: Find patterns in the data that generalize
- We could...
  - ... use a very flexible, malleable function,
  - ....feed it a lot of our images;
  - ...let the function reflect differences that generalize,
  - ... and decide which flower it is.
- Advantages
  - scales to other images (same as classical approach)
  - Could flexibly integrate a new flower type without changing the algorithm
  - Does not require human input for decision making



## What would a machine learning approach look like?



- ML approach: Find patterns in the data that generalize
- We could...
  - ... use a very flexible, malleable function,
  - ....feed it a lot of our images;
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- Advantages
  - scales to other images (sector as classical appreciation of the sector appre
    - Co **Disadvantages**: wit stanging the algorithm
  - Does not require human input for decision making



### The machine learning approach



# The fundamental idea of machine learning – analytically



Sources: The author's own elaboration, Bishop (2006)

# The fundamental idea of machine learning – analytically



## Why did we go through all this?



Understand how machine learning is different from classical computational problem solving: Learning from data



Even the most sophisticated AI models are just (deterministic) machine learning algorithms, they are far from smart or 'intelligent'



Training and testing data is everything! Every model reflects how and with what data it was 'educated'



Every machine learning approach is fallible, in the sense that it need not generalize just because it fits its training data well

## Outline

1	Motivation and background: Artificial Intelligence, Machine Learning & Co.
2	Large Language Models, Prompt Engineering (Theory)
	Experiments: Large Language Models
	Next steps, further guidance

### Large Language Models

ChatGPT 4o ∨					
		Ŷ	Ð		
	S Explain nostalgia to a kindergartener	What to do with kids' art	Text inviting friend to wedding	Experience Seoul like a local	
<u>ل</u>	Message ChatGPT	ChatGPT can make mistake	es. Check important info.		?

### Leveraging LLMs: Natural Language as Interface





## The cornerstones of Large Language Models (LLMs)



- 'bank' has different meanings, and this can only be detected by looking at the context
- Some words are more important than others in determining which 'bank' we are referring to
- The mathematical implementation of this concept in deep learning is called Attention
- Attention forms the basis of the Transformer (T in GPT)
- Attention made the 'LLM revolution' possible
- ...paired with an extreme expansion of computational power



### The cornerstones of Large Language Models (LLMs)



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Sources: The author's own elaboration, Vaswani et al. (2017), Bishop & Bishop (2024)



- Generative: The goal of the model is to generate synthetic text; or images, video, music, etc.
   (G in GPT)
- *Autoregressive:* Models are trained to complete the input ('prompt') and use their own generated text (auto) as input to generate further output text (regression)

### The cornerstones of Large Language Models (LLMs)



- Generative: The goal of the model is to generate synthetic text; or images, video, music, etc. (G in GPT)
- Autoregressive: Models are trained to complete the input ('prompt') and use their own generated text (auto) as further input (regression)
- Pre-Trained: On a large corpus of text from the internet to 'learn' the relationship between words (P in GPT)

## Of fossils and exits

### You

Write a proof of the fact that there are infinitely many primes; do it in the style of a Shakespeare play through a dialogue between two parties arguing over the proof. Limit the dialogue to each person speaking three times and include the words 'fossil' and 'exit' somewhere sensible.

< 2/2 >



#### **Creative Writing Coach**

**Title: Prime's Parley** 

Enter HALCION, a learned scholar, and PYTHAS, a skeptic.

#### HALCION:

Hark, Pythas! Doubt thou the stars are fire,

Doubt that the sun doth move, doubt ●

Title: Prime's Parley	shown?
Enter HALCION, a learned scholar, and	HALCION:
PYTHAS, a skeptic.	Ah, but there lies the heart, and proof so
HALCION:	sweet;
Hark, Pythas! Doubt thou the stars are	This number, from our product plus one
fire,	beat,
Doubt that the sun doth move, doubt	By none of those primes could evenly be
truth to be a liar,	split;
But never doubt I pray, that prime's	Their child it is not, nor divides by it.
infinite band	For if by any prime it were divisible,
Doth march beyond the mortal's grasp	Subtract one – our product should
and understanding land.	divisible be as well,
PYTHAS:	Which contradicts! For primes divide not
Speak plainly, Halcion, and by your leave	, their own fold.
How can such numbers endless be	Thus new primes must exist, as yet
conceived?	untold.
When <mark>fossils</mark> in the earth are not so	PYTHAS:
plenty as these primes you claim	Aye, the stage is clear, and your point
That from the boundless deep, without	made with grace;
end, they came?	In primes, no end, no final curtain, no
HALCION:	last race.
Consider then, a finite list of primes, not	Let us then, these endless numbers
more than thee or I can name,	chase.
Suppose these all, and let us their	HALCION:
product frame.	Then come, with minds uplifted, let us
Add one unto this product vast, and lo,	make haste,
behold a new stage set,	In knowledge's pursuit, no time let us
For either prime it is itself, or by primes	waste.
not listed yet it's met.	For as numbers eternal do expand,
PYTHAS:	So too, our understanding, hand in hand.
Indeed, your words a cunning plot do	Exeunt.
weave,	PYTHAS:
Yet in this new-found number, primes	To learn, to seek, to find, and not to
could leave.	yield.
Could it not be divided by those known,	Exit we now; to this math's field.
And thus a prime not new but old be	

## Of fossils and exits: Can we trust LLMs?

#### Title: Prime's Parley

Enter HALCION, a learned scholar, and PYTHAS, a And thus a prime not new but old be shown? skeptic. HALCION: HALCION: Hark, Pythas! Doubt thou the stars are fire, Doubt that the sun doth move, doubt truth to be By none of those primes could evenly be split; a liar. But never doubt I pray, that prime's infinite band For if by any prime it were divisible, Doth march beyond the mortal's grasp and understanding land. well. PYTHAS: Speak plainly, Halcion, and by your leave, own fold. How can such numbers endless be conceived? When fossils in the earth are not so plenty as PYTHAS: these primes you claim That from the boundless deep, without end, they grace; came? HALCION: Consider then, a finite list of primes, not more HALCION: than thee or I can name. Suppose these all, and let us their product frame. haste, Add one unto this product vast, and lo, behold a new stage set, For either prime it is itself, or by primes not listed So too, our understanding, hand in hand. vet it's met. Exeunt. PYTHAS: PYTHAS: Indeed, your words a cunning plot do weave, Yet in this new-found number, primes could leave. Exit we now; to this math's field.

Could it not be divided by those known, Ah, but there lies the heart, and proof so sweet; This number, from our product plus one beat, Their child it is not, nor divides by it. Subtract one – our product should divisible be as Which contradicts! For primes divide not their Thus new primes must exist, as yet untold. Aye, the stage is clear, and your point made with In primes, no end, no final curtain, no last race. Let us then, these endless numbers chase. Then come, with minds uplifted, let us make In knowledge's pursuit, no time let us waste. For as numbers eternal do expand, To learn, to seek, to find, and not to yield.

- **Syntax:** Does it use the right 'template'
- **Semantics:** Is the actual content correct/appropriate?
- Shakespeare style?
- Dialogue?
- Proof correct?
- Two parties?
- Each person says something three times?
- "fossil" and "exit" included?
- ...somewhere sensible?

### What do you think?

## Of fossils and exits: Can we trust LLMs?

#### Title: Prime's Parley

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To learn, to seek, to find, and not to yield.

- **Syntax:** Does it use the right 'template'
- Semantics: Is the actual content correct/appropriate?
- Shakespeare style? Yes
- Dialogue? Yes
- Proof correct? Yes-ish
- Two parties? **Yes**
- Each person says something three times? No
- "fossil" and "exit" included? Yes
- ....somewhere sensible? Yes

## **Prompt Engineering 101**

Definition	Structure	Example				
	Instruction: Direct commands or questions	Basic: "List five benefits of AI in				
Prompt := Input provided by user	Context setting: Background information	<ul> <li>Complex: "Describe the impact of AI on urban development, focusing on transportation and</li> </ul>				
	Constraints: Limit scope if necessary	energy efficiency."				
	$\mathbf{f}$					
Advanced concepts: roles, scenarios, chaining						

### Iterating and refining; fresh start

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### ChatGPT, the ultimate LLM?

ChatGPT 4o v					•		GP	<b>T</b> s	
						Discover a	nd create custom versions of 0 knowledge, and any 0	ChatGPT that co combination of s	mbine instructions, extra skills.
						Q Search GP	Ts		
		¢	<b>\$</b>			Top Picks Writin	ng Productivity Research & Analy	rsis Education	Lifestyle Programming
	Sont Explain nostalgia to a kindergartener	What to do with     kids' art	Text inviting friend to wedding	Experience Seoul like a local		Featured Curated top picks	s from this week		
						$\odot$	Tutor Me Your personal Al tutor by Khan Academy! I'm Khanmigo Lite - here to help you with math, By khanacademy.org		Whimsical Diagrams Explains and visualizes concepts with flowcharts, mindmaps and sequence diagrams. By whimsical.com
						Ċ	<b>Code Copilot</b> Code Smarter, Build Faster—With the Expertise of a 10x Programmer by Your Side. By promptspellsmith.com	<b>(</b> )	DeepGame Play any story as a character. You decide what to do next. By Utile Labs
U	Message ChatGPT	ChatGPT can make mist	akes. Check important info.		?				

## Academic LLMs

# scite\_ Ask, Discover, Trust

scite Assistant gives you answers with insight and control into its thought process.

® Settings ⊕ Sources			
How does the structure of a protein affect its function?	$\rightarrow$	What are the cognitive and neural mechanisms underlying decision-making and risk-taking behavior?	$\rightarrow$
How many rats live in NYC?	$\rightarrow$	What is carbon dioxide?	$\rightarrow$

#### **Research & Analysis** (S) OpenAl Find, evaluate, interpret, and visualize information Scholar GPT Consensus Enhance research with 200M+ resources Ask the research, chat directly with the and built-in critical reading skills. Access world's scientific literature. Search 2 Google Scholar, PubMed, JSTOR, Arxiv... references, get simple explanations,... By awesomegpts.ai By consensus.app SciSpace Wolfram Do hours worth of research in minutes. Access computation, math, curated knowledge & real-time data from $\otimes$ Instantly access 287M+ papers, analyze 3 papers at lightning speed, and... Wolfram Alpha and Wolfram Language;... By scispace.com By wolfram.com Scholar Al AskYourPDF Research Assistant AI Scientist - search and analyze text, Free Chat Unlimited PDFs, Access figures, and tables from 200M+ research 400M+ Papers (PubMed, Nature, Arxiv, 6 5 papers and books to generate new... etc), Analyse PDF (Unlimited PDFs),... By scholarai.io By askyourpdf.com

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### Leveraging LLMs: Image Generation





DALL-E 3

An illustration of an avocado sitting in a therapist's chair, saying 'I just feel so empty inside' with a pit-sized hole in its center. The therapist, a spoon, scribbles notes.



### Leveraging LLMs: Image Generation

Create an illustration that shows the difficult relationship between extracting fossil fuels like coal and the environment/ecological considerations Include gender aspects as well, particularly how women are affected

< 2/2 >





Here is the updated illustration, now including gender aspects. It shows women working in harsh conditions on the side of the coal extraction and women and children benefiting from a clean environment on the side of the vibrant ecosystem. If you need any more adjustments or further details, feel free to let me know!

## Leveraging LLMs: Code for visualization/understanding



#### import pandas as pd

# Load the data
df = pd.read\_excel('inputs/papers.xlsx')

# Replace NaN with "Not else specified"
df.fillna("Not else specified", inplace=True)

print(df['Paper\_ID'].unique().size)

# Exclude 'World' and 'EU'
df = df[~df['Country'].isin(['World', 'EU'])]

# Create long format for Policy Instruments

# Filter out 'Not else specified' entries
df\_long\_instruments['Policy\_Instrument'] != 'Not else specified']

## Citing AI tools

### **Citing Text from ChatGPT**

If you are citing text generated by ChatGPT, whether you include your own input or not, you should follow these general guidelines:

**In-Text Citation:** 

- With Own Input:
  - Example: (ChatGPT, 2024)
  - You can mention in the text that you used ChatGPT with your input, such as "According to text generated by ChatGPT based on my input..."
- Without Own Input:
  - Example: (ChatGPT, 2024)
  - Simply refer to ChatGPT as the source of the information.

## **Citing AI tools**

### **Citing Images from DALL-E**

For images created using DALL-E, ensure you credit the source appropriately.

#### **In-Text Citation:**

- Example: (DALL-E, 2024)
- You can describe the image contextually in the text and then cite it, such as "An image generated by DALL-E shows a futuristic cityscape..."

#### **Reference List:**

 Example: OpenAI. (2024). Image generated by DALL-E. Retrieved from [URL of the image, if available]

### Please feel encouraged to...



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### Discussion – Q & A







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### Some useful resources for further guidance



The Simple Economics of Artificial Intelligence

AJAY AGRAWAL

COMPLEXITY A GUIDED TOUR MELANIE MITCHELL



#### Springer Texts in Statistics

Gareth James Daniela Witten Trevor Hastie Robert Tibshirani

### An Introduction to Statistical Learning

with Applications in R

Second Edition

Description Springer

# **THANK YOU!**

Nicolas Malz (nm@wip.tu-berlin.de)

Research Group FossilExit



Follow our research @FossilExit @CoalTransitions











### What kind of problem am I dealing with?



## How can I solve my problem with the given data?

Ű	Supervised	Trained on labeled data, model must 'only' figure out the relationship between feature(s) and target	Simple linear regression
EARNI	Unsupervised	Trained on unlabeled data, model must figure out the type/number of labels by itself	K-means clustering
E OF l	Semi- Supervised	Trained on a limited train set of labeled data, rest is unlabeled	Graph-based methods
ТҮР	Reinforcement	Agent learns to make "good" decisions by performing actions in an environment maximizing some reward	Q-learning, Policy gradients